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Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 22223USWO (C038435/0197655)

U.S. APPLICATION:NO. (Ifknown see 37 CF

INTERNATIONAL APPLICATION NO. PCT/EP2004/011469

INTERNATIONAL FILING DATE 13 October 2004

PRIORITY DATE CLAIMED
21 October 2003

TITLE OF INVENTION STABILISATION OF POLYUNSATURATED FATTY ACID (PUFA) ESTER CONCENTRATES							
APPLICANT(S) FOR DO/EO/US Neil MACFARLANE							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. 🗵	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. 🗆 -	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3. 🗵	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. 🗙	The US has been elected (Article 31).						
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
	a. is attached hereto (required only if not communicated by the International Bureau).						
	b. X has been communicated by the International Bureau.						
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. is attached hereto.						
	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. 🗵	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached hereto (required only if not communicated by the International Bureau).						
	b. have been communicated by the International Bureau.						
"	c. have not been made; however, the time limit for making such amendments has NOT expired.						
	d. An have not been made and will not be made.						
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. 🔀	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items	11 to 20 below concern document(s) or information included:						
11. 🗵	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. 🔲	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. 🔀	A preliminary amendment.						
14.	An Application Data Sheet under 37 CFR 1.76.						
15.	A substitute specification.						
16.	A power of attorney and/or change of address letter.						
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.	Designation of Registered Practitioners Pursuant to 37 CFR § 1.32(c)(3) (2 pp.); Certificate of Express  Other items or information: Mailing (1 page); and Return Postcard.  of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## AP20 R36 ( PGH TO 20 APR 2006

PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO: (if known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
10/5/6931			PCT/EP2004	PCT/EP2004/011469			22223USWO (C038435/0197655)			
	ing fees are submitted:	-					plicant use	Office use only		
X a) Basic na	ational fee		•••••••	\$300.	.00	\$	300.00			
🗙 b) Examir	nation fee		•••••	\$200	.00	\$	200.00			
X c) Search fee\$500.00					.00	\$	500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$	1,000.00			
	fee for specification and d	rawings filed	in paper over 100 sheets (e	xcluding seq	uence					
	omputer program listing fill 50 sheets of paper or frac		ronic medium). The fee is \$	250 for each	1					
Total Sheets			Number of each additional 50 or fraction thereof (round up to a whole number)							
10 - 100 =	0 /50 =		0	× \$2	250.00	\$	0.00			
Surcharge of \$1	30.00 for furnishing the o	ath or declara	tion later than 30 months fro	om the earlie	est					
	date (37 CFR 1.492(e)).					\$				
CLAIMS	NUMBER FI	LED	NUMBER EXTRA	RATE		\$	,			
Total claims	3 20	- 20 =	0	× \$50	.00	\$	0.00			
Independent cla	ims 1	- 3 =	0	x \$200	0.00	\$	0.00			
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	oplicable)		+ \$360	0.00	\$	0.00			
			TOTAL OF ABOVE			\$	1,000.00			
Applicant of by 1/2.	claims small entity status.	See 37 CFR	1.27. The fees indicated ab	ove are redu	iced	\$	0.00			
				SUBTO	OTAL =	\$	1,000.00			
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						0.00			
			TOTAL	NATIONAL	FEE =	\$	1,000.00			
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	0.00			
		·	TOTAL FI	EES ENCLO	SED =	\$	1,000.00	,		
			Amoi	unt to be ref	unded:			\$		
			Amount to be charged					\$		
				-	a. gca	77				
	ck in the amount of \$									
b. Please A dup	e charge my Deposit Acci licate copy of this sheet is	ount No s enclosed.	in the amount of \$	<u>1,000.0</u>	<u>)0</u> to ∞	ver ti	ne above fees.			
c. X The Co	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4467. A duplicate copy of this sheet is enclosed.									
NOTE: Where a and granted to	in appropriate time limit restore the Internationa	under 37 CF	R 1.495 has not been met to pending status.	, a petition t	to revive	(37 (	CFR 1.137(a) or (b))	must be filed		
SEND ALL COR	RESPONDENCE TO:									
Stephen M. Haracz, Esq.							Ma	· · ·		
BRYAN CAVE LLP SENATURE							shop I Down			
1290 Avenue of the Americas							phen J. Brown			
New York, New York 10104 NAME  Phone: (212) 541 2000 45							43,519			
Phone: (212) 541-2000 Fax: (212) 541-4630 REGISTRATIO										
1 ax. (212) 341-4030 Resident Months (1011-1011-1011-1011-1011-1011-1011-101										

Docket No. 22223USWO (C038435/0197655)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

In re International Application o	)									
Neil MACFARLANE	)									
Based on Int'l Application No.:	PCT/EP2004/011469	)	Examiner: Not yet assigned							
International Filing Date:	13 October 2004	)	Art Unit: Not yet assigned							
Filed:	Herewith	)								
For: STABILISATION OF POACID (PUFA) ESTER C	DLYUNSATURATED FATTY ONCENTRATES	)								
CERTIFICATE OF EXPRESS MAILING										
"Express Mail" Mailing Label No.: <b>EQ 060217509 US</b> Date of Deposit: April 20, 2006										
I hereby certify that the following:										
<ul> <li>[x] Transmittal Letter to the University Filing Under 35 U.S.C.</li> <li>[x] Combined Declaration For</li> <li>[x] Information Disclosure Statement of International Search Research International Preliminary</li> <li>[x] Preliminary Amendment (8)</li> <li>[x] Designation of Registered</li> </ul>	Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate  Combined Declaration For Patent And Power Of Attorney (2 pp) (executed)  Information Disclosure Statement and PTO-1449 (4 pp, including duplicate page 2), with International Search Report, Written Opinion of the International Searching Authority, International Preliminary Report on Patentability, and copies of documents  Preliminary Amendment (8 pp, including duplicate page 1)  Designation of Registered Practitioners Pursuant to 37 CFR § 1.32(c)(3) (2 pp)  Check for \$1,000.00 (National fee)									
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.										

Andrew Dobson

Signature of Person Making Deposit

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000